

95-07-01

JC971 U.S. PTO
 09/848951
 05/03/01

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PTO/SB/05 (11-00)
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	83000.946C2/P2867C2
	First Inventor	HERMAN et al.
	Title	METHOD AND APPARATUS FOR ANALYZING DATA
	Express Mail Label No.	EL705174413US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 9] 5. Oath or Declaration [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) b. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) (copy from prior app.) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Supp. Util. App. Transmittal; Change of Firm

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. **09, 318,480**

Prior application information.

Examiner **Hua, L.**

Group Art Unit **2131**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22804 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Name	Gary A. Hecker, Esq.				
Address	THE HECKER LAW GROUP				
	1925 Century Park East, Suite 2300				
City	Los Angeles	State	California	Zip Code	90067
Country	USA	Telephone	(310)286-0377	Fax	(310)286-0488

Name (Print/Type)	Gary A. Hecker	Registration No. (Attorney/Agent)	31,023
Signature		Date	5/3/01

Burden Hour Statement: This form is estimated to take 12 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
 BOX PATENT APPLICATION
 Washington, D.C. 20231

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

This is a request for filing a

☒ Continuation application
☐ Divisional application

under 37 CFR 1.53(b), of pending prior application

Serial No. 09/318,480

filed: May 25, 1999

of HERMAN et al.

for: METHOD AND APPARATUS FOR ANALYZING DATA

☐ 1. Please enter the preliminary amendment, enclosed herewith.
☐ 2. Please cancel claims _____.
☒ 3. Please amend the Specification by inserting after the title, the sentence:
 --This is a continuation of application Serial No. 09/318,480 filed
May 25, 1999 which is a continuation of application Serial No. 08/939,755 filed
September 29, 1997.--

☒ 4. The prior application is assigned to: SUN MICROSYSTEMS, INC.

☒ 5. The Power of Attorney in the prior application is to:

Gary A. Hecker et al. of
 THE HECKER LAW GROUP
 (previously Hecker & Harriman)

☒ (a) The Power appears in the original papers of the prior application.
☐ (b) Since the Power does not appear in the original papers, a copy of the Power in
 the prior application is enclosed.

- X (c) Recognize as associate attorney and address all future communications to:

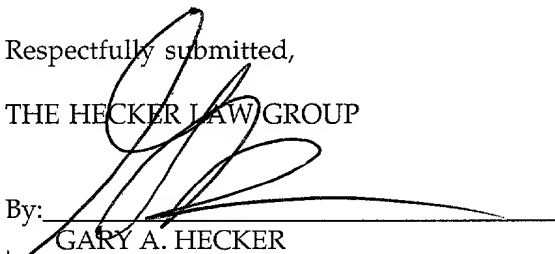
Gary A. Hecker
 THE HECKER LAW GROUP
 1925 Century Park East, Suite 2300
 Los Angeles, California 90067
 (310) 286-0377

- X 6. It is hereby requested that any request for a convention priority made in the prior application be transferred to the present application.
- ___ 7. Applicant hereby petitions for an extension of time of _____ pursuant to Rule 1.136, if one is needed, for the above-noted prior application. A duplicate copy of this sheet is enclosed for filing in the proper application file.
- ___ 8. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account Number 08-1520. A duplicate of this authorization is enclosed.

Respectfully submitted,

THE HECKER LAW GROUP

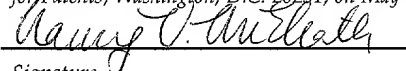
Date: May 3, 2001

By: 
 GARY A. HECKER
 Reg. No. 31,023
 ___ Inventor(s)
 ___ Assignee of Complete Interest
X Attorney of Record in prior application.

1925 Century Park East
 Suite 2300
 Los Angeles, California 90067
 (310) 286-0377

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705174413US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on May 3, 2001:

 5-3-01
 Signature Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:)
HERMAN et al.)
Serial No.: Unassigned)
Filed: Herewith)
Title: METHOD AND APPARATUS FOR)
ANALYZING DATA)

CHANGE OF FIRM NAME
and ADDRESS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please note that the undersigned's former firm name "Hecker & Harriman" has been changed to **THE HECKER LAW GROUP**. This is a change in name only, not in the entity of record.

The firm name should appear on all issued patents as follows:

THE HECKER LAW GROUP

Also, please note our current address.

THE HECKER LAW GROUP
1925 Century Park East, Suite 2300
Los Angeles, California 90067

Please update all records and direct all correspondence to:

Gary A. Hecker, Esq.
THE HECKER LAW GROUP
1925 Century Park East, Suite 2300
Los Angeles, California 90067

The telephone number and fax number remain the same.

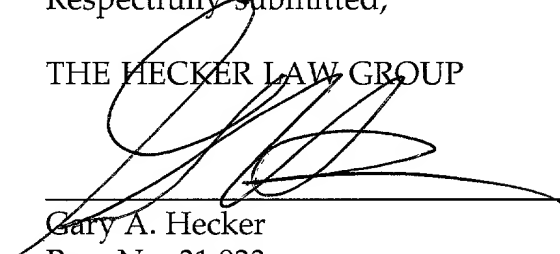
Telephone No.: (310) 286-0377
Fax No.: (310) 286-0488

Respectfully submitted,

THE HECKER LAW GROUP

Date: May 3, 2001

By:

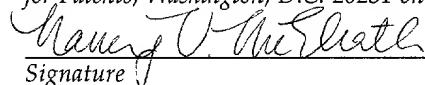


Gary A. Hecker
Reg. No. 31,023

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Suite 2300
Los Angeles, California 90067
(310) 286-0377

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Signature Date